

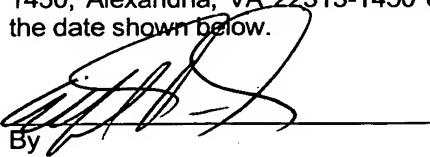


JPW

Docket No.: P2001,0273 D

CERTIFICATION OF MAILING OR TRANSMISSION

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By

Date December 5, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Matthias Goldbach et al. Confirmation No.: 9490
Applic. No. : 10/780,075
Docket No. : P2001,0273 D
Filed : February 17, 2004
Title : Stress-Reduced Layer System
Examiner : Hoai Pham Group Art Unit: 2814

Hon. Commissioner for Patents
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(d)

Sir:

In accordance with 37 CFR 1.98, the following patents and/or publications are cited herewith:

United States Patent Application Publication US 2002/0171099 A1 (Sato et al.), dated November 21, 2002;

Japanese Patent JP 2000-269462 (Sato et al.), dated September 29, 2000, and English abstract thereof;

German Office Action dated September 14, 2006.

In accordance with 37 CFR § 1.97(e) the undersigned herewith states that each item of information contained in the above information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

In accordance with 37 CFR § 1.97(d), consideration of this Information Disclosure Statement is requested. Enclosed is the fee in the amount of \$180.00.

United States Patent US 5,913,125 (Brouillette et al.), dated June 15, 1999;

United States Patent US 5,905,279 (Nitayama et al.), dated May 18, 1999;

United States Patent US 6,180,480 B1 (Economikos et al.), dated January 30, 2001;

German Patent DE 44 28 195 C1 (Willer et al.), dated April 20, 1995, and corresponding United States Patent US 5,866,452, dated February 2, 1999.

The above-mentioned references were cited in an *Information Disclosure Statement* dated April 24, 2002, in parent application No. 10/131,358.

K. Yamada et al.: "A Deep- Trenched Capacitator Technology For 4 Mega Bit Dynamic RAM", Proceedings of International Electronic Devices and Materials, IEDM 85, 1985, pp.702-705.

The above-mentioned reference was cited in an *Information Disclosure Statement* dated May 23, 2003, in parent application No. 10/131,358.

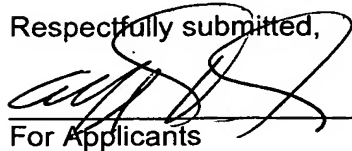
United States Patent US 6,265,741 (Schrems), dated July 24, 2001.

The above-mentioned reference was cited in an Office Action dated April 14, 2003, in parent application No. 10/131,358.

If no translation of pertinent portions of any foreign language patents or publications mentioned above is included with the aforementioned copies of those applications, patents and/ or publications, it is because no existing translation is readily available to the applicant.

As per the Notice in 1273 OG 55 (August 5, 2003) no copies of any above-mentioned U.S. patents and U.S. patent application publications are submitted for any application filed after June 30, 2003.

Respectfully submitted,



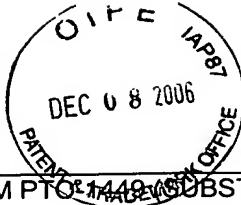
For Applicants

Date: December 5, 2006

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FORM PTO-1449 (SUBSTITUTE)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(37 CFR 1.98(b))Attorney Docket No.:
P2001,0273 DApplic. No.
10/780,075Applicant
Matthias Goldbach et al.Filing Date
February 17, 2004Group Art Unit
2814

EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A	2002/0171099 A1	11/2002	Sato et al.			
	B	5,913,125	06/1999	Brouillette et al.			
	C	5,905,279	05/1999	Nitayama et al.			
	D	6,180,480 B1	01/2001	Economikos et al.			
	E	5,866,452	02/1999	Willer et al.			
	F	6,265,741	07/2001	Schrems			
	G						
	H						
	I						

FOREIGN PATENT DOCUMENT

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES NO
	J	2000-269462	09/2000	Japan			
	K	44 28 195 C1	04/1995	Germany			
	L						
	M						
	N						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	O	K. Yamada et al.: "A Deep- Trenched Capacitor Technology For 4 Mega Bit Dynamic RAM", Proceedings of International Electronic Devices and Materials, IEDM 85, 1985, pp.702-705
	P	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609;
Draw line through citation if not in conformance and not considered. Include copy of this form with
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